

# LOCAL GOVERNMENT RISK MANAGEMENT SUMMIT

WEDNESDAY APRIL 28 – THURSDAY APRIL 29, 2010  
NOVOTEL BRIGHTON BEACH, SYDNEY



This national summit will bring together local government risk management professionals from across Australia to discuss key risks facing the nation's councils and showcase case studies of leading local government risk management practices.

At a time when Australian councils face an increasingly complex risk environment with limited resources, this event will provide detailed information and advice about best practice systems being implemented by leading local governments to effectively manage legal, financial and other risks confronting their organisations.

This summit will feature analysis and case studies involving topics such as: enterprise risk management for local government; incorporating risk management into organisational governance and culture; internal auditing and audit committees; risk management systems; new standards for risk management practices; OH&S; and environmental risk management - including how councils need to address the risks posed by climate change.

The inaugural Local Government Risk Management Summit will also provide unprecedented networking opportunities for local government directors and risk management and auditing professionals, enabling them to share information and benchmark their own organisation's practices against the leaders in the field.

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#### Speakers at the Local Government Risk Management Summit will include:

- **Peter Napier**, Integrated Risk Management Coordinator at Ipswich City Council and Vice President of the Risk Management Institution of Australasia
- **Donna Graham**, Corporate Counsel, Glen Eira City Council
- **Geraldine Wood**, Executive Manager Corporate Governance, Townsville City Council
- **Jeff Webb**, Director Risk Services, Deloitte Touche Tohmatsu
- **Glen Davis**, Chief Executive Officer, Northern Grampians Shire
- **David Simon**, Data Manager, National Exposure Information System (NEXIS), Geoscience Australia
- **Ken Muir**, Risk Management Coordinator, Penrith City Council
- And Wollongong City Council's former General Manager, **Rod Oxley**, who will provide insight into the Wollongong Council corruption scandal and detail the difficulties faced by councils to install a risk management framework that prevents corrupt activity.

#### Summit attendance enquiries should be directed to:

Denise McQueen, Hallmark Conferences + Events,  
Ph: (03) 8534 5000; Email: [denise.mcqueen@halledit.com.au](mailto:denise.mcqueen@halledit.com.au)

#### Summit sponsorship and exhibition enquiries should be directed to:

Ben Hutchison, Summit Director, Ph: (03) 8534 5025;  
Email: [benh@halledit.com.au](mailto:benh@halledit.com.au)

Further information is available on the conference website [www.halledit.com.au/rm2010](http://www.halledit.com.au/rm2010)

# LOCAL GOVERNMENT RISK MANAGEMENT SUMMIT

DAY ONE: Wednesday, April 28

8:30 REGISTRATION & MORNING COFFEE

9:00 CONFERENCE WELCOME

9:05 OPENING ADDRESS

**Mr Peter Napier**

Peter is Integrated Risk Management Coordinator at Ipswich City Council and Vice President of the Risk Management Institution of Australasia. Peter will describe the current state of local government risk management practices, including the need to adapt to the new national risk management standard ISO 31000.

## SESSION 1: ENTERPRISE RISK MANAGEMENT AND ORGANISATIONAL PERFORMANCE IMPROVEMENT

9:25 OPTIMISING RISK MANAGEMENT PERFORMANCE AT GLEN EIRA

**Ms Donna Graham**, Corporate Counsel,  
*Glen Eira City Council*

9:45 INSTITUTING A NEW RISK MANAGEMENT FRAMEWORK AT TOWNSVILLE CITY COUNCIL

**Ms Geraldine Wood**, Executive Manager Corporate Governance,  
*Townsville City Council*

10:05 IMPLEMENTING RISK MANAGEMENT IMPROVEMENTS AT PENRITH

**Mr Ken Muir**, Risk Management Coordinator,  
*Penrith City Council*

10:25 MODERATED DISCUSSION

10:50 MORNING TEA

11:30 IMPLEMENTING ENTERPRISE RISK MANAGEMENT WITHIN A PUBLIC SECTOR ORGANISATION

**Mr Grant Whitehorn**

Grant is a former Director Enterprise Risk Management at the Department of Defence, and is currently a Technical Consultant for RiskWare. Grant will describe his experiences in designing ERM frameworks for public sector organisations, and draw on those experiences to describe how councils should adopt ERM systems.

12:00 CREATING RESILIENCE IN A LOCAL GOVERNMENT CORPORATE BODY

**Mr Simon Iliffe**, Manager Risk Management Services,  
*Shellharbour City Council*

12:20 DEVELOPING A COMMON ERM FRAMEWORK FOR COUNCILS

**Mr Andrew Armitstead**, Executive Officer, *Westpool*  
Andrew will detail the work undertaken to design and implement a good practice Enterprise Risk Management framework for a group of NSW councils.

12:40 IMPLEMENTING ERM TECHNOLOGY AND AVOIDING THE PITFALLS!

**Mr Tony Harb**, Managing Director, *Inconsult*

1:00 MODERATED DISCUSSION

1:20 LUNCH BREAK

2:15 MANAGING COMPLIANCE RISKS WHEN THE BUDGET WILL NOT STRETCH

**Mr Glen Davis**, CEO, *Northern Grampians Shire*

A very large risk for local government arises because the funding sources for many councils provide fewer financial resources than the cost of providing mandatory services to the standards assumed by law. Risks for these councils crystallise when they are the subject of prosecutions, compensation claims or public criticism for failing to deliver services to statutory standards. Several strategies have now been identified to successfully manage these risks and limit liability. Mr Davis will suggest that councils adopt these strategies as an interim measure pending the reform of systems for financing local government.

2:35 IMPLEMENTING RISK MANAGEMENT SYSTEMS AT WODONGA  
**Mr Will Gwosdz**, Risk Management Advisor, *City of Wodonga*

2:55 THE BENEFITS OF IMPLEMENTING A SPATIAL IMAGING CLAIMS & HAZARDS TRACKING SYSTEM

**Mr Ian Waters**, Redland City Council, *Risk & Liability Services Manager*

3:15 MODERATED DISCUSSION & AFTERNOON BREAK

## SESSION 2: CLIMATE CHANGE RISK MANAGEMENT

3:45 ANALYSING AND PRIORITISING COUNCILS' CLIMATE CHANGE RISKS

**Mr Greg Hunt**, Executive Officer,  
*South East Councils Climate Change Alliance*

Greg will describe how a group of Victorian councils undertook a leading-edge project to assess the potential local impacts of climate change and define a priority list of climate change-related risks that they needed to address.

4:05 IMPLEMENTING A CLIMATE CHANGE RISK MANAGEMENT AND ADAPTATION PROGRAM

**Mr Alex Fearnside**, Team Leader Sustainability,  
*City of Melbourne*

4:25 HOW COUNCILS CAN USE A NEW NATIONAL DATA FRAMEWORK TO PREDICT THEIR EXPOSURE TO CLIMATE CHANGE

**Mr David Simon**, Data Manager, National Exposure Information System (NEXIS), *Geoscience Australia*

4:45 MODERATED DISCUSSION

5:00 CLOSE OF DAY ONE PROCEEDINGS AND START OF NETWORKING DRINKS

## 7:00 SUMMIT DINNER

The Summit Dinner will provide an exceptional opportunity to network with local government risk management professionals and senior managers from across Australia.

# LOCAL GOVERNMENT RISK MANAGEMENT SUMMIT

DAY TWO: Thursday, April 29

## 9:00 OPENING DAY TWO

### 9:05 KEYNOTE ADDRESS: THE WOLLONGONG COUNCIL CORRUPTION SCANDAL – A CASE STUDY

**Mr Rod Oxley**, Former General Manager, *Wollongong City Council* and  
**Ms Neryl East**, Former Manager Communication and Public Relations, *Wollongong City Council*

This presentation will provide insight into the Wollongong Council corruption scandal and detail the difficulties faced by councils to install a risk management framework that prevents corrupt activity.

## SESSION 3: LOCAL GOVERNMENT AUDITING & GOVERNANCE

### 9:45 AUDIT COMMITTEES AND THEIR RELATIONSHIP WITH INTERNAL AUDIT

**Mr Jeff Webb**, Director Risk Services, *Deloitte Touche Tohmatsu*

Jeff is an independent member of a local government audit committee, and manages internal audits for a number of other Victorian councils.

### 10:05 GOOD PRACTICE COUNCIL GOVERNANCE AND THE ROLE OF THE COUNCILLOR

**Cr Ian Longbottom**  
Ian is Deputy Chair of the 'Metropool' and 'United Independent Pools' local government insurance pools and a Councillor at Lane Cove Council since 1991 (Mayor Apr 2004 – Sep 2009).

## 10:25 MODERATED DISCUSSION

## 10:35 MORNING TEA

### 11:05 BEST PRACTICE INTERNAL AUDIT AND RISK MANAGEMENT INTERACTIONS

**Mr Jeff Simpson**, Internal Auditor, *Wyong Shire Council*

### 11:25 ACHIEVING BEST PRACTICE COUNCIL AUDIT COMMITTEE AND GOVERNANCE SYSTEMS

**Mr Jason Masters**  
Jason is an Independent member of Wyong Shire's Governance Committee and Chair of the Independent Commission Against Corruption's Audit and Risk Committee.

## SESSION 4: COMPLIANCE MANAGEMENT SYSTEMS

### 11:45 DEVELOPING A VENDOR COMPLIANCE REGISTER

**Mr Russell Dally**, Risk Management Coordinator, *Bankstown City Council*

Bankstown's Vendor Compliance Register is a leading-edge system that helps the council to accurately monitor whether its contractors meet insurance and compliance requirements, which is helping to reduce the council's potential exposure to liabilities.

## 12:05 LICENCE EXPIRY NOTIFICATION SYSTEM

*Gosford City Council*  
Gosford's innovative and sophisticated system helps minimise the risk of the council being exposed to claims as a result of staff being unlicensed.

## 12:25 MODERATED DISCUSSION

## 12:50 LUNCH

## SESSION 5: OCCUPATIONAL HEALTH & SAFETY

### 2:00 NEW MODEL OHS LAWS - PREPARING YOUR ORGANISATION FOR HARMONISATION

**Speaker:** *Sparke Helmore Lawyers*

### 2:30 IMPROVING OH&S AT SHOALHAVEN

**Ms Fiona Hackett**, OHS Coordinator, *Shoalhaven City Council*

## 3:00 TBA

## SESSION 6: EMERGENCY RISK MANAGEMENT

### 3:30 OPTIMISING COUNCIL BUSHFIRE RISK MANAGEMENT SYSTEMS

**Mr David Lemcke**, Team Co-ordinator Natural Resources, *Wyong Shire*

## 4:00 DISCUSSION & SUMMARY OF SUMMIT PROCEEDINGS

## 4:15 END OF SUMMIT

# 2010 LOCAL GOVERNMENT RISK MANAGEMENT SUMMIT

**Wednesday April 28 and Thursday April 29, 2010, Novotel Brighton Beach, Sydney**

**FIVE EASY WAYS TO REGISTER: online, phone, fax, email, mail**

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**ATTENDEES**

**Delegate 1:** Name \_\_\_\_\_

Full conference  Day 1  Day 2  Dinner

Position \_\_\_\_\_

Email \_\_\_\_\_

**Delegate 2:** Name \_\_\_\_\_

Full conference  Day 1  Day 2  Dinner

Position \_\_\_\_\_

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**Delegate 4:** Name \_\_\_\_\_

Full conference  Day 1  Day 2  Dinner

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**CONTACT DETAILS** *Please fill in this section as well as the above*

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**CONFERENCE FEES** *(Please indicate number of attendees for each of the below:)*

[ ] x 2 day Conference and Dinner (\$1050 incl. GST) = [ ]

[ ] x 2 day Conference only (\$950 incl. GST) = [ ]

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**VENUE:** Novotel Brighton Beach, Sydney. For further information visit [www.halledit.com.au/rm2010/venue](http://www.halledit.com.au/rm2010/venue)

**CAR PARKING:** Available at the hotel. For further information visit [www.halledit.com.au/rm2010/venue](http://www.halledit.com.au/rm2010/venue)

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If you are unable to attend on both days, you are welcome to share your registration with ONE OTHER colleague from the same organisation. An Administration Fee of \$100 will apply. Entry to the Conference will be by delegate badge only. You must inform Hallmark Conferences + Events if you are substituting delegates. Maximum two delegates may attend from the one registration.